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ORIGINAL RESEARCH

Risk factors for dental caries in small rural and regional Australian communities

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ABSTRACT

Introduction: Dental decay (caries) can cause pain, infection and tooth loss, negatively affecting eating, speaking and general health. People living in rural and regional Australian communities have more caries, more severe caries and more untreated caries than those in the city. The unique environmental conditions and population groups in these communities may contribute to the higher caries burden. In particular, some towns lack community water fluoridation, and some have a high proportion of Aboriginal people, who have significantly worse oral health than their non-Aboriginal counterparts. Because of these and other unique circumstances, mainstream research on caries risk factors may not apply in these settings. This study aimed to gather contemporary oral health data from small rural or regional Australian communities, and investigate caries risk factors in these communities.

Methods: A cross-sectional survey consisting of a standardized dental examination and questionnaire was used to measure the oral health of 434 children (32% Aboriginal) aged 3-12 years in three small rural or regional areas. Oral health was determined as the deciduous and permanent decayed, missing and filled teeth (dmft/DMFT), and the proportion of children without caries. Risk factors were investigated by logistic regression.

Results: The dmft/DMFT for children in this study was 1.5 for 5-6 year olds and 1.0 for 11-12 year olds (index groups reported). Independent predictors of having caries (Yes/No) were age group, holding a concession card (OR=2.45, 95%CI=1.58-3.80) and tooth-brushing less than twice per day (OR=2.11, 95% CI=1.34-3.34). Aboriginal status also became a significant variable under



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sensitivity analyses (OR 1.9, CI 1.12-3.24) when the tooth-brushing variable was removed. Gender, water fluoridation and parental education were not significant predictors of caries in these communities.

Conclusions: The rural/remote children in this study had worse oral health than either state or national average in both the 5-6 year old and 11-12 year age group. Socioeconomic status, tooth-brushing and Aboriginal status were significantly associated with caries in these communities. To close the substantial gap in oral health outcomes between rural and metropolitan residents, approaches that target rural areas, Aboriginal people and those from low socioeconomic backgrounds are needed.

Key words: Aboriginal, Australia, dental caries, fluoridation, oral health.

Introduction

Dental decay (caries) is the most prevalent health condition in Australia¹. Caries can cause oral pain, infection and tooth loss, and pain from dental caries may make everyday activities such as eating and speaking difficult². In addition, oral diseases, particularly periodontitis, affect not only the mouth, but have also been associated with cardiovascular diseases³, diabetes⁴, stroke⁵ and pre-term low-birthweight⁶.

People living in rural and regional Australian communities have more caries, more severe caries and more untreated caries than those in urban areas⁷⁻⁹. The unique environmental conditions and population groups in these communities may contribute to the higher disease rate. In particular, some towns lack community water fluoridation, and some have a high proportion of Aboriginal people who have significantly worse oral health than their non-Aboriginal counterparts⁹⁻¹¹. (The communities and participants in this study comprise both Torres Strait Islander and Aboriginal people; however, the term Aboriginal has been used throughout this article to refer to Aboriginal and Torres Strait Islander people, in recognition that Aboriginal peoples are the original inhabitants of [study setting] New South Wales [NSW]). Because of these and other unique circumstances, mainstream research on caries risk factors may not apply in these settings.

Ninety-four per cent of the population of NSW now drinks fluoridated water. The remaining unfluoridated communities tend to be small and regional or rural. Fluoridation of large towns is a very cost-effective prevention measure, but as the population reduces, so does the cost-effectiveness of fluoridation. It has been suggested that approximately 1000 people may be the cut-off for cost-effectiveness of community water fluoridation, although this may be lower for towns with high numbers of disadvantaged people¹².

Aboriginal people are recognised as a high risk group for oral disease. Despite this, scant data exist on the oral health of Aboriginal children in NSW, and few studies have successfully investigated caries risk factors in communities with a high proportion of Aboriginal people.

This study aimed to gather contemporary oral health data from small rural or regional Australian communities, and investigate caries risk factors in these communities.

Methods

Setting and participants

Lack of water fluoridation and a large Aboriginal population are key caries risk factors, which are likely to be different in rural and remote communities than in the wider Australian population. Therefore, community selection criteria aimed to recruit adequate numbers of Aboriginal people and unfluoridated communities for meaningful comparisons. Further, selecting communities in similar geographic circumstances was regarded as beneficial for the purposes of comparison. Therefore, all three recognised Aboriginal



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communities located on the coast south of state capital Sydney were identified. The communities were Wreck Bay, La Perouse and Wallaga Lake. The locations of the three communities are shown (Fig1).

While La Perouse is not a rural or regional community, it was included in the study because a fluoridated area with a high proportion of Aboriginal people was needed for comparison with the other two unfluoridated communities, and La Perouse was deemed sufficiently isolated to be comparable for the purposes of the study.

A complete list of schools, preschools and day-care centres near the communities was prepared. The following inclusion criteria were applied to select schools and preschools for the study:

- situated within a 30 km radius of the Aboriginal community of interest or attended by the majority of children in that community
- at least 20% Aboriginal enrolment
- enrols children aged 3-12 years.

On the basis of these criteria, 11 schools and preschools were selected for the study, and all agreed to participate. While it is not possible to know the exact child population within 30 km of the Aboriginal communities of interest, a total of four schools did not meet the selection criteria for level of Aboriginal enrolment and were thus not surveyed. Six of the 11 surveyed schools were in unfluoridated areas and five were in the fluoridated area. All 602 children aged 3-12 years who attended these schools were eligible to participate in the study, and each received informed consent material and a questionnaire to be completed by a parent or carer. Participation in the study required informed parental consent.

Method

Enrolled children underwent a clinical dental examination, and parents or carers filled in a questionnaire. The clinical examinations were conducted in October and November 2011, according to a national protocol developed at the

Australian Research Centre for Population Oral Health⁷. Under this protocol, the caries call is taken from enamel cavitation (ie International Caries Detection and Assessment System [ICDAS] classification D2-D3). The system was adopted from the US National Institute of Dental and Craniofacial Research (NIDCR) examination protocol and World Health Organisation 1997 protocol. Three survey teams, each consisting of a dental therapist (examiner) and a dental assistant (recorder), examined children in schoolrooms under standardised conditions using a sterile mirror and dental probe. Dental plaque, decay, trauma and fluorosis were recorded.

Parents were asked to complete a nine-item questionnaire on their child's residential history, use of fluoride supplements, potable water source and tooth-brushing, and their own highest level of education and concession card status (an indicator of socioeconomic status). The questionnaire was deliberately kept short to maximise participation. All questions were validated and standardised by the Australian Research Centre for Population Oral Health or were consistent with Australian Census questions used in the NSW Health Survey Program¹³.

Examination teams attended a two-day training and calibration workshop run by the Australian Research Centre for Population Oral Health before commencing data collection. At the workshop, the examiners' performance was validated and standardised to that of a 'gold standard' examiner. During the study, diagnostic reliability and validity were monitored by duplicate examinations by the same examiner of 10% of randomly chosen children and a further 10% by the gold standard examiner.

Data preparation and analysis

The results of the examination were recorded by direct computer data entry. Questionnaires were scanned and verified with Cardiff TeleForm (http://www.cardiff-teleform.com/). Data were then exported to Microsoft Excel for cleaning and analysed with SAS v9.2 (www.sas.com).



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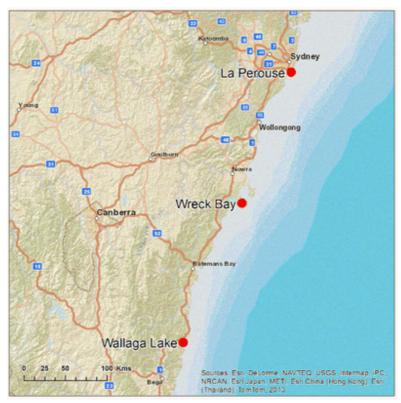


Figure 1: Location of the three study sites in the New South Wales South Coast Child Dental Health Survey (map used with permission ArcGIS Resources http://resources.arcgis.com).

Examiner validity was measured by comparing all three examiners with the gold standard. The statistical tests used were intra-class correlation and kappa score for agreement. Examiner reliability was measured by comparing each examiner to herself. One examiner conducted too few repeat examinations to allow analysis of her reliability. The remaining data were analysed for reliability by intra-class correlation.

Aboriginal status was ascertained by questionnaire. Identification was maximised by working with an Aboriginal healthcare worker and in partnership with the community.

Children were assigned to fluoridated or unfluoridated groups according to the water supply of their school. This was done to maximise data quality, because the data about the schools was accurate and recorded for all children. This method also allowed for complex living arrangements, because some children lived between two separate homes.

For the descriptive analysis, groups were compared using the χ^2 test.

Caries experience was determined using two measures: the deciduous and permanent decayed, missing and filled teeth (dmft/DMFT) score, and the proportion of children who were caries-free. The dmft/DMFT was calculated for each child by adding all teeth with one or more carious lesions (either currently carious or filled, implying previous caries).

The dmft/DMFT were analysed in 2 year-age strata to control for the strong effect of age on caries experience. The 5-6 and 11-12 year age groups are reported as index groups, in line with

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national and state-wide research^{11,12}. The dmft/DMFT results for all children were included in the modelling.

Logistic regression was used to determine independent predictors for caries (Yes/No) as the dependent variable. Potential independent predictors of caries were determined a priori, and included water fluoridation, Aboriginal status, age, gender, concession card status (Y/N), parent education level (completed school/did not complete school) and tooth-brushing frequency (\leq 1/day, \geq 2/day). Initially logistic regression models tested for associations between individual predictors and caries experience. All predictors with a p-value <0.25 were then entered into a single logistic regression model and the backwards selection method was used to derive the final model. Effect modification was examined in two sets of sensitivity analyses of fluoridation status and tooth-brushing/Aboriginal status. A p-value of less than 0.05 was considered statistically significant.

Ethics approval

Ethics approval for the survey was granted by the NSW Population & Health Services Research Ethics Committee (Reference 2011/07/336), the ACT Health Human Research Ethics Committee (approved on 22 July 2011), the NSW Department of Education and Communities through the State Education Research Approvals Process, the ACT department of Education and Training, the Aboriginal Health and Medical Research Council Human Research Ethics Committee and the University of NSW Human Research Ethics Committee.

Results

A dental examination was performed for 434 children (response rate 72%). Of the unexamined children, six had informed parental consent but refused an examination, 13 were absent from school, and the remainder did not obtain informed parental consent. Participants ranged in age from 3 to 12 years (mean age 7.7 years) and more participants were male than female (235 & 199, respectively). More participants identified as non-Aboriginal (68%) than Aboriginal (32%) and slightly more attended school in fluoridated sites (59%) than unfluoridated sites (41%). Most

children used fluoridated toothpaste (99%), and most had never taken fluoride tablets (95%). Exactly half of all parents reported having a healthcare or concession card and more than two-thirds of parents (73%) had completed school. Finally, only half of all children (49%) brushed their teeth more than once a day, and just over half (56%) had visited the dentist in the previous 12 months. Table 1 shows the number and proportion of children at fluoridated and unfluoridated sites by sex, age and Aboriginal status.

No statistically significant differences were found between Aboriginal and non-Aboriginal children in baseline characteristics such as age, use of fluoride tablets, use of fluoride toothpaste or timing of the most recent dental visit; however, Aboriginal children were significantly more likely to attend school in an unfluoridated area (p=0.003) (Table 1), brush less often (p<0.001) and have parents who held a concession card (p<0.001) and had not completed school (p<0.001).

A mixed fluoride history was recorded for 53% of children; that is, these children had lived in both a fluoridated and an unfluoridated area in their lifetimes.

The intra-class correlation coefficient for the validity of the examiners was 0.96 for the decayed, missing or filled surfaces per child, and the kappa value indicating agreement between examiners and the gold standard was 0.91 for the decayed, missing or filled category of individual teeth. The intra-class correlation coefficient indicating the reliability of the examiners averaged 0.97 for decayed, missing or filled surfaces per child.

Oral health

The 5-6 year-old children had an average dmft of 1.5, and 11-12 year-old children had an average DMFT of 1.0. For Aboriginal children, the 5-6 year-old dmft was 2.3 and 11-12 year-old DMFT was 2.1. In unfluoridated areas, the 5-6 year-old dmft was 2.1 and 11-12 year-old DMFT was 1.3. A total of 51% of 5-6 year olds in the sample had no caries experience (dmft/DMFT=0), and 38% of 11-12 year olds had no caries experience.



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Table 1: Descriptive statistics of children in the NSW South Coast Child Dental Health Survey at fluoridated and unfluoridated sites by sex, age and Aboriginal status

Variable	Site - n (%)		P-value
	Fluoridated	Unfluoridated	
Sex	n=255	n=179	
Male	137 (54)	98 (55)	0.833
Female	118 (46)	81 (45)	
Age (years)			
3-4	57 (22)	26 (15)	0.167 (4df)
5-6	59 (23)	50 (28)	
7-8	51 (20)	36 (20)	
9-10	53 (21)	33 (18)	
11-12	35 (14)	34 (19)	
Aboriginal			
Yes	67 (26)	71 (40)	0.003
No	188 (74)	108 (60)	

df, Degrees of freedom.

Predictors of caries

In the final model, the only factors significantly associated with having caries (Y/N) were age group, concession card status and tooth-brushing frequency. Children of parents with a concession card were approximately 2.5 times more likely to experience caries, and children who brushed their teeth less than twice/day were approximately twice as likely to experience caries. Gender, water fluoridation, Aboriginal status and parental education were not significant predictors of caries experience (Table 2).

Sensitivity analysis

The finding that water fluoridation was not significantly associated with caries was unexpected, so the authors investigated whether there may have been some dilution bias in the way children were categorised into fluoridated or unfluoridated groups. Primary sensitivity analyses were therefore performed for the group of children with a mixed fluoride history (ie children who have lived or attended school in both a fluoridated area and unfluroidated area in their lifetime). In sensitivity model 1, data were analysed for three fluoride histories; fully fluoridated, fully unfluoridated

and mixed. In sensitivity model 2, children with a mixed fluoride history were excluded from the analysis, leaving 'pure' fluoridated and unfluoridated groups. While these models resulted in slightly altered odds ratios, concession card status and tooth-brushing frequency remained significantly associated with caries in both models, and gender, water fluoridation, Aboriginal status and parental education remained non-significantly associated (Table 3).

As the tooth-brushing and Aboriginal status variables were strongly associated, secondary sensitivity analyses were performed to determine which was the driving factor in caries experience. In sensitivity model 1, tooth-brushing was excluded, and Aboriginal status became significantly associated with caries experience (OR=1.9, 95% CI 1.12-3.24). In sensitivity model 2, Aboriginal status was forced into the final model, with age group, concession card status and tooth-brushing frequency. In this model, Aboriginal status still conferred a higher risk for caries experience, but was not significant (OR=1.64, 95% CI 0.94-2.85), and the odds ratios for the other variables decreased but remained significant.



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Table 2: Significant predictors of caries experience in children aged 3-12 years in three small rural/regional NSW communities, 2011

Input variable	OR (95%CI)	
Age group (years)		
3-4	0.19 (0.09-0.42)	
5-6	0.61 (0.31-1.20)	
7-8	1.54 (0.73-3.23)	
9-10	1.33 (0.64-2.76)	
11-12 (reference group)	1.0	
Concession card holder	2.45 (1.58-3.80)	
Tooth brushing ≤1 / day	2.11 (1.34-3.34)	

Table 3: Primary sensitivity analyses, NSW South Coast Child Dental Health Survey

Fluoride history	Baseline model Yes/No	Sensitivity model 1 Yes/Mixed/No	Sensitivity model 2 Yes /No (Mixed excluded)
Age group (years)	Significant	Significant	Significant
Concession card holder	2.07 (1.28-3.34)*	1.93 (1.19-3.13)*	2.20 (1.28-3.80)*
Tooth brushing ≤1/day	1.91 (1.20-3.06)*	1.93 (1.20-3.10)*	1.72 (1.03-2.87)*
Aboriginal	1.63 (0.94-2.84)	1.62 (0.93-2.81)	1.08 (0.58-1.99)
Fluoridation status	1.06 (0.67-1.67)	1.0	0.81 (0.46-1.43)
Mixed fluoride history	-	1.01 (0.59-1.71)	-
Unfluoridated	-	1.60 (0.88-2.92)	-

^{*}Statistically significant.

Discussion

Concession card status, age and brushing frequency were highly significant predictors of caries experience in these communities. Aboriginal status also became a significant variable in sensitivity analyses.

While the small number of children living in unfluoridated areas in NSW necessarily limited the sample size, the regression modelling had sufficient power to detect three independent factors significantly associated with caries experience. It is possible that with a larger sample size, other variables, namely Aboriginal status and water fluoridation may have become significant. Nevertheless, this study has shown that water fluoridation and Aboriginal status are less

significantly associated with caries in these communities than socio-economic status, age and tooth-brushing.

Data were primarily analysed according to children's current school fluoride status. This was done deliberately to improve accuracy; however, it may have introduced some dilution bias into the results; for example, a child who attended school in an unfluoridated area but lived in a fluoridated area (either currently or in the past) would have been assigned to the unfluoridated group, but would have the benefit of water fluoridation in their home. This dilution bias may be significant, because it is known that approximately half of the children had a mixed fluoride history; however, in sensitivity analyses these results persisted even when the mixed fluoride group was removed, leaving 'pure' fluoridated and



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unfluoridated groups, showing that the dilution bias does not significantly affect the results.

The remaining findings of this study are consistent with the bulk of Australian literature about risk factors for dental caries. The present study sample had worse oral health than either state or national average in both the 5-6 year old and 11-12 year age group^{8,14}. This finding is consistent with other studies, which show that child oral health is worse in rural or remote Australian communities^{8,9}. Concession card status was used in this study as one indicator of a family's socioeconomic status. The strong association between poor oral health and low socioeconomic status is also well established^{11,15-17}, and is consistent with the present results. Finally, Aboriginal children have consistently been shown to have poorer oral health than non-Aboriginal children 9-11. This difference between Indigenous and non-Indigenous children's oral health also exists in many other countries, including New Zealand, Canada and the USA^{10,18}. The present study found that being Aboriginal was associated with brushing less frequently, a finding that is also consistent with other Australian literature^{9,19}.

In the broader context of closing the substantial gap in health outcomes between rural and metropolitan residents, Aboriginal and non-Aboriginal people and those from high and low socioeconomic backgrounds, a combination of water fluoridation and targeted approaches to improving oral health will be needed. It is acknowledged that there is a strong body of evidence showing that water fluoridation is effective in reducing caries, and is particularly useful for reaching the most disadvantaged any community; however, this study indicates that approaches targeting other risk factors may also have good impact. Given the low tooth-brushing frequency this study found among Aboriginal children and the wellestablished preventive effect of daily brushing with fluoride toothpaste^{20,21}, a school-based program to increase tooth brushing for Aboriginal children may be an initial step for improving oral health outcomes; however, it must be remembered that these programs have historically been relatively resource-intensive and difficult to implement, and only able to reach children who attend school and are compliant.

Conclusions

The rural/remote children in this study had worse oral health than either state or national average in both the 5-6 year old and 11-12 year age groups. Socioeconomic status, tooth-brushing and Aboriginal status were significantly associated with caries in these communities. To close the substantial gap in oral health outcomes between rural and metropolitan residents, approaches that target rural areas, Aboriginal people and those from low socioeconomic backgrounds are needed.

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